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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,187	10/12/2001	Joseph V. Simmons	3201.2.1

28049
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FORMALITIES LETTER



OC000000007079561

CONFIRMATION NO. 1417
Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/06/2002 TV0111 00000011 09976187
01 FC:205 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

Adjustment date: 02/06/2002 TV0111
01/29/2002 JADD01 00000108 09976187
02 FC:581 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/29/2002 JADD01 00000108 09976187 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:205 65.00 OP

Adjustment date: 02/06/2002 TV0111
01/29/2002 JADD01 00000108 09976187
01 FC:205 65.00 OP

01-14-2002

U.S. Patent & TMOfc/TM Mail Rcpt Dt #71



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Arlington, VA. 22202-3913, on January 14, 2002.

John D.
Attorney for Applicant

PATENT
Docket No. 3201.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph V. Simmons Et al.)
Serial No.: 09/976,187)
Filed: October 12, 2001) Art Unit
For: HEATING, VENTILATING, AND AIR-CONDITIONING) 2123
DESIGN APPARATUS AND METHOD)

02/06/2002 TV0111 00000011 09976187

02 FC:581

40.00 OP

LETTER OF TRANSMITTAL

Box Missing Parts
Commissioner for Patents
2900 Crystal Drive
Arlington, VA. 22202-3913

Sir:

Please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a newly executed Declaration, Power of Attorney and Petition;
- (2) an Assignment and cover sheet; and
- (4) Form PTO-2038 authorizing credit card payment in the amount of One Hundred Five Dollars (\$105.00) to cover the filing fee for this application, as calculated below, together with the required surcharge under 37 C.F.R. § 1.16(e) and assignment recordation fee under 37 C.F.R. § 1.21(h).

Y

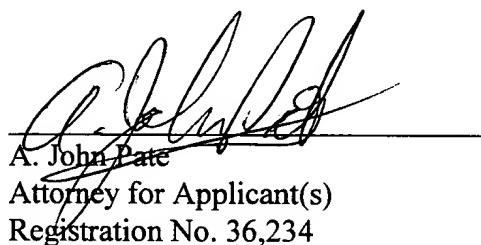
The filing fee for this application has been calculated, as follows:

Basic filing fee		\$0.00
Independent claims in excess of three	0 x \$40	\$0.00
Total claims in excess of twenty	0 x \$9	\$0.00
Recordation fee		\$40.00
Surcharge		<u>\$65.00</u>
	TOTAL FEE	\$105.00

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

DATED this 9th day of January, 2002.

Respectfully submitted,



A. John Pate
Attorney for Applicant(s)
Registration No. 36,234

Date: November 9, 2001

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